

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

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U.S. PTO
JCS25

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JCS25 U.S. PTO

Date: November 3, 2000

Docket No.: 2750-1338P

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

As authorized by the inventor(s), transmitted herewith for filing is a patent application applied for on behalf of the inventor(s) according to the provisions of 37 C.F.R. § 1.41(c), which claims priority under 35 U.S.C. § 119(e) of Provisional Application No. 60/163,379 filed on November 4, 1999.

Inventor(s): Nickolai ALEXANDROV, Maxim TROUKHAN

For: SEQUENCE-DETERMINED DNA FRAGMENTS AND CORRESPONDING
POLYPEPTIDES ENCODED THEREBY

Enclosed are:

- ☒ A specification consisting of a description (94 pages), table 1 (14 pages), the claims (3 pages) a schematic (1 page), and an abstract (1 page) totaling one hundred thirteen (113) pages
- ☐ () sheet(s) of formal drawings
- ☐ Certified copy of Priority Document(s)
- ☒ Executed Declaration in accordance with 37 C.F.R. § 1.64 will follow
- ☒ A statement to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27
- ☐ Preliminary Amendment
- ☒ Information Sheet

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- ☐ Information Disclosure Statement, PTO-1449 and reference(s)
- ☐ Amend the specification by inserting before the first line the sentence:
 --This application claims priority on provisional Application No. _____ filed on _____, the entire contents of which are hereby incorporated by reference.--
- ☒ Two identical CD-Rs created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
001018 Protein Domain Table	October 19, 2000	2.05 MB

- ☐ Amend the specification by inserting before the first line the sentence:
 --This application contains a CDR, the entire contents of which are hereby incorporated by reference. The CDR contains the following files:

File Name	Date of Creation	File Size

- ☒ Other: Power of Attorney regarding Small Entity Statement, ATCC Deposit receipts PTA-595, PTA-1161, PTA-1411
 The filing fee has been calculated as shown below:

			LARGE ENTITY	SMALL ENTITY
BASIC FEE			\$710.00	\$355.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	17- 20 =	0	X 18 = \$0.00	x 9 = \$0.00
INDEPENDENT CLAIMS	3- 3 =	0	x 80 = \$0.00	x 40 = \$0.00
MULTIPLE DEPENDENT CLAIMS PRESENTED <input type="checkbox"/>			+ \$270.00	+ \$135.00
TOTAL			\$0.00	\$355.00

- ☒ The application transmitted herewith is filed in accordance with 37 C.F.R. § 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed declaration together with the surcharge will be forwarded in due course.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.

09704512-1 6300

☒ Please charge Deposit Account No. 50-1055 in the amount of \$355.00 to cover the filing fee. A triplicate copy of this transmittal form is enclosed.

☒ Please send correspondence to:


BIRCH, STEWART, KOLASCH & BIRCH, LLP - **Customer No. 2292**
P.O. Box 747
Falls Church, VA 22040-0747
Telephone: (703) 205-8000

If the Primary Deposit Account No. 50-1055 is deficient and non-payment will result in a loss of rights, the Commissioner is hereby authorized in this, concurrent and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


Raymond C. Stewart, #21,066

RCS/JRK
2750-1338P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachments

09708542-10300

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Patent Number: 2750-1338P

Applicant, Patentee, or Identifier: N. Alexandrov et al.

Application or Patent No.: NEW Patent Application

Filed or Issued: November 3, 2000

Title: SEQUENCE-DETERMINED DNA FRAGMENTS AND CORRESPONDING POLYPEPTIDES ENCODED THEREBY

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN CERES, INC.

ADDRESS OF SMALL BUSINESS CONCERN 3007 Malibu Canyon Road Malibu, CA 90265

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is not longer appropriate. (37 CFR 1.28(b))

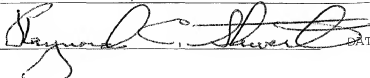
NAME OF PERSON SIGNING Raymond C. Stewart (Reg. No. 21,066)

TITLE IN ORGANIZATION OF PERSON SIGNING Legal Representative of CERES, INC.

ADDRESS OF PERSON SIGNING Birch, Stewart, Kolasch and Birch, LLP.

P.O. Box 747 Falls Church, VA 22040-0747

SIGNATURE



DATE November 3, 2000

PATENT
2750-1338P

IN THE U.S. PATENT AND TRADEMARK OFFICE

I N F O R M A T I O N S H E E T



Applicant: Nickolai ALEXANDROV and Maxim TROUKHAN

Appl. No.: NEW

Filed: November 3, 2000

For: SEQUENCE-DETERMINED DNA FRAGMENTS AND
CORRESPONDING POLYPEPTIDES ENCODED
THEREBY

Priority Claimed: 2750-616P 60/163,379 November 4, 1999

Send Correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or CUSTOMER NO. 2292
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(703) 205-8000

The above information is submitted to advise the U.S.P.T.O.
of all relevant facts in connection with the present application.

A timely executed Declaration in accordance with 37 C.F.R.
§ 1.64 will follow.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


Raymond C. Stewart, #21,066

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2750-1338P

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